

# A. Doak Barnett papers 4078917

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Rare Book and Manuscript Library

Butler Library, 6th Floor Columbia University, Mail Code 1127 535 W. 114th St. New York, NY 10027 Business Number: (212) 854-5153 Fax Number: (212) 854-1365 rbml@libraries.cul.columbia.edu URL: http://www.columbia.edu/cu/lweb/indiv/rbml/index.html 

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<b>Repository:</b>	Rare Book and Manuscript Library
Creator:	Barnett, A. Doak
Title:	A. Doak Barnett papers
ID:	4078917
ID:	MS#0075
Date [inclusive]:	1929-2010
Date [bulk]:	1940-1999
Physical Description:	92 linear feet 182 manuscript boxes
Language of the Material:	English , French , Korean , Japanese , Chinese .
Abstract:	The Arthur Doak Barnett Papers consist of personal and professional documents created and amassed by a leading scholar and government advisor on United States-China policy and relations in the 20th century. Barnett wrote, co-authored, or edited more than 20 books on China and Asia. His papers chronicle his academic, reporting, and government careers, plus his writings and travels throughout Asia and China from the 1940s through the 1990s.

## **Preferred Citation**

Identification of specific item; Date (if known); Arthur Doak Barnett Papers; Box and Folder; Rare Book and Manuscript Library, Columbia University Library.

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# **Biographical / Historical**

Arthur Doak Barnett (1921-1999) was born in Shanghai, the fourth child of Eugene Epperson and Bertha Smith Barnett. His father worked in China for the Young Men's Christian Association until 1936 when the family returned to the United States. Barnett graduated from Yale University summa cum laude with undergraduate and master's degrees in international relations. He served in the U.S. Marine Corp in the Pacific during World War II.

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# **Biographical** / Historical

In 1947 Barnett returned to China as a fellow of the Institute of Current World Affairs and a correspondent for the Chicago Daily News. He traveled throughout China, covering the civil war, the occupation of Peking (Beijing) in 1949, and the establishment of the People's Republic of China. After the revolution he became a public affairs officer for the American Consulate General in Hong Kong. A 1955 Daily News clipping described him as "the last American correspondent to leave China after the Reds took over.".

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# **Biographical / Historical**

Returning to the United States in 1959, Barnett joined the Ford Foundation where he secured funds for research projects on China despite the political climate of McCarthyism. He joined the Columbia University faculty in 1961 as Professor of Public Law and Government and taught there for eight years. His students included Kenneth Lieberthal, an advisor on China to the Clinton Administration, and Michel Oksenberg, who participated in the Carter Administration's establishment of diplomatic relations with China.

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# **Biographical / Historical**

In 1966 Barnett was principal witness for the Senate Foreign Relations Committee review of U.S. China policy. Consistently advocating a non-confrontational approach, he advised Presidents Lyndon B. Johnson and Richard M. Nixon to reach out to China, coining the phrase "containment without isolation." President Ronald Reagan adopted Barnett's position against the sale of jet fighters to Taiwan in early 1980s, and the Bush and Clinton Administrations were guided by his views on the Tiananmen Square protests of 1989.

# **Biographical / Historical**

Barnett was instrumental in establishing several organizations on China, including the Joint Committee on Contemporary China, the Committee on Scholarly Communications with the People's Republic of China, and the National Committee on US-China Relations.

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# **Biographical / Historical**

He returned to China many times throughout his life to conduct research that formed the basis for his many published works and as a member of delegations and exchanges he helped to organize. He told an interviewer his most interesting years were spent traveling to every province in China, often on horseback, in the 1940s as a half-time reporter for the Daily News.

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# **Biographical / Historical**

"We in the West, and particularly in the United States, keep going through cycles, or swings of the pendulum, from unrealistic euphoria about China to a feeling of disillusionment because it doesn't live up to our unrealistic expectations. " (Brookings, 22).

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## **Biographical** / Historical

A. Doak Barnett died at age 77 in Washington, D.C.

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## Scope and Content

The collection consists of documents created in the course of Barnett's academic and government careers. Included are typed and handwritten letters, reports, speeches, organizational records, academic records, instructional materials, manuscripts, photographs, cassette tapes and videos, maps, and some books.

<sup>^</sup> Return to Table of Contents

## **Scope and Content**

Among the major works included are *Cadres, Bureaucracy and Political Power, China and the Major Powers, Uncertain Passage, China's Economy in Global Persepctive,* and the unpublished manuscript of "Reds, Rice and Revolution." Files on Association for Asian Studies projects and Barnett's Oreon Scott Lectures are also included. Also, extensive files on Columbia University, the Ford Foundation, additional materials on *China's Economy in Global Perspective* and other writings of Mr. Barnett.

<sup>^</sup> Return to Table of Contents

#### Arrangement

This collection is arranged in six series. Folder names given by Barnett have been retained when possible. Items pertaining to Eugene E. Barnett have been moved to the Eugene E. Barnett Papers 1905-1970, Rare Book & Manuscript Library, Columbia University.

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# Administrative Information

#### **Publication Statement**

Rare Book and Manuscript Library

Butler Library, 6th Floor Columbia University, Mail Code 1127 535 W. 114th St. New York, NY 10027 Business Number: (212) 854-5153 Fax Number: (212) 854-1365 rbml@libraries.cul.columbia.edu URL: http://www.columbia.edu/cu/lweb/indiv/rbml/index.html

## **Revision Description**

File created. container/title XML structure fixed to just container kws EAD was imported spring 2019 as part of the ArchivesSpace Phase II migration. 2009-03-25 2019-03-25 2019-05-20

## **Restrictions on Access**

This collection is located off-site. You will need to request this material at least three business days in advance to use the collection in the Rare Book and Manuscript Library reading room.

## **Restrictions on Access**

This collection has no restrictions.

## **Terms Governing Use and Reproduction**

Reproductions may be made for research purposes. The RBML maintains ownership of the physical material only. Copyright remains with the creator and his/her heirs. The responsibility to secure copyright permission rests with the patron.

## **Immediate Source of Acquisition**

Date of acquisition--1980. Accession number--M80.

## **Immediate Source of Acquisition**

Gift of A. Doak Barnett, 1980, 1981, 1982.

## **Processing Information**

Processed by Jacqueline Rider, Pratt Institute, 2014.

## **Processing Information**

Finding aid written by Jacqueline Rider in August 2014.

## Accruals

Materials may have been added to the collection since this finding aid was prepared. Contact rbml@columbia.edu for more information.

## **Immediate Source of Acquisition**

Gift of Jeanne B. Barnett, 2010. Accession #2009-2010-M090.

#### <sup>^</sup> Return to Table of Contents

# **Related Materials**

#### **Related Resources:**

"Interview: A. Doak Barnett." (Washington, D.C.: The Brookings Review, Fall, 1982, pp. 21-23).

#### **Related Resources:**

"A. Doak Barnett Memorial Essay Contest." (New York: National Committee on United States-China Relations. 2000).

#### **Related Resources:**

Obituary. "Arthur Doak Barnett, an American mandarin, died on March 17th, aged 77." (London: The Economist. March 25, 1999).

#### **Related Resources:**

Oksenberg, Michel. "Obituary: In Memory of A. Doak Barnett." (Cambridge University Press: The China Quarterly, No. 158 (Jun., 1999), pp. 484-487).

#### **Related Resources:**

Tyler, Patrick E. "A. Doak Barnett Dies; China Scholar, 77." (New York: The New York Times, March 19, 1999).

#### **Related Columbia Collections:**

Eugene E. Barnett Papers 1905-1970, Rare Book and Manuscript Library, Columbia University

#### <sup>^</sup> Return to Table of Contents

## **Controlled Access Headings**

- Political science -- Study and teaching
- China -- Economic conditions -- 20th century
- China -- Politics and government -- 20th century
- Taiwan -- Foreign relations -- China
- College teachers
- Barnett, A. Doak
- Barnett, Eugene E.
- Carter, Jimmy, 1924-
- Chiang, Kai-shek, 1887-1975
- Humphrey, Hubert H. (Hubert Horatio), 1911-1978
- Kissinger, Henry, 1923-
- Mao, Zedong, 1893-1976
- Nixon, Richard M. (Richard Milhous), 1913-1994
- Oksenberg, Michel, 1938-
- Association for Asian Studies
- Brookings Institution
- Chicago Daily News, Inc.
- Democratic National Committee (U.S.)
- Ford Foundation
- Center for Strategic and International Studies (Washington, D.C.)
- Columbia University -- Faculty
- Council on Foreign Relations
- Institute of Current World Affairs
- Joint Committee on Contemporary China
- Johns Hopkins University. School of Advanced International Studies
- National Committee on United States-China Relations
- United States. Arms Control and Disarmament Agency
- Yale University -- Students
- Barnett family

## General

Boxes 99-182 are correct in the finding aid, and have the correct barcodes in ReCAP. Note though that the physical boxes are labeled 1-84.

# **Collection Inventory**

#### Series I: Academic and Government Career, 1929-1999

#### **Scope and Contents**

Academic and Government Career contains material from Barnett's education at Yale University and teaching tenures at Columbia University and Johns Hopkins University plus research while at the Brookings Institution. Included are documents related to numerous consultancies, activities, and honors upon retirement and his death and memorial service. Folders marked "Completed" contain accepted invitations, announcements, and requests to attend and/or speak at conferences, workshops, parties, and other professional and social events. Not included are invitations, announcements, and requests, which Barnett marked "Refused."

#### Subseries I.1: Early Career, 1929-1961

#### Scope and Contents

Early Career contains correspondence and other material from Barnett's undergraduate and graduate studies at Yale University and work as a correspondent for the Chicago Daily News and associate with the American Universities Field Staff. Included are academic transcripts from grade school through graduate school, Yale course notebooks, and several annuals or yearbooks. Barnett's Daily News dispatches are contained in Suberies IV.1.

Title/Description	Instances
Barnett family trip, Shanghai to London, 1929	box 99
Report cards, transcripts, 1927-1950	box 99
Shanghai American School bulletin, 1937-1938	box 99
Shanghai American School newsletter, 1989	box 99
Lincoln School essay, 1937	box 99
Lincolnian yearbook, 1937	box 99
Lincolnian yearbook, 1939	box 99
Lincoln School fiftieth reunion, 1989	box 99
White Plains High School, undated	box 99
White Plains High School diploma, 1939	box 99
White Plains High School, 1938-1995	box 99
Camp Dudley Annual, 1938-1941	box 99
Invitations refused, 1940-1946	box 99

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Government 36, 1942	box 100
Art, 1943	box 101
Physical Description: (3 folders)	
Chinese, 1946	box 101
International Politics, Government, 1942-1946	box 101
International Economics, 1946-1947	box 101
Art, 1943	box 101
Physical Description: (3 folders)	
International Relations, 1946-1947	box 101
Graduation and honors, 1942-1947	box 101
Class of 1943 Yearbook, 1943, 1943, 1943	box 101

#### Subseries I.2: Columbia University, 1961-1969

#### **Scope and Contents**

Columbia University contains correspondence and other records during Barnett's tenure at Columbia, along with lecture notes, syllabi, and administrative records for courses taught there. Also in this subseries are correspondence and other documents pertaining to speeches, interviews, and papers given by Barnett via television, radio and conferences he attended. Of special note are handwritten drafts, final copies, and correspondence pertaining to his 1966 statements before the Senate Foreign Relations Committee and House Subcommittee on the Far East and Pacific plus records of his role on the Asian Task Force for Hubert H. Humphrey's unsuccessful Presidential campaign in 1968. This subseries also contains records of Barnett's fellowship year in Hong Kong, 1964-1965, to study Chinese Communist political systems at the local level.

Fitle/Description	Instances
Harvard, Michigan, 1955-1968	box 101
Reports, 1957-1978	box 101
Student Lists-Fellowship rankings, 1961-1967	box 101
ADB Students, 1961-1969	box 102
Physical Description: (2 folders)	
War College lecture, 1959-1961	box 102
Completed-Speeches, talks, conferences, 1961	box 102
Allen S. Whiting-State Department, 1961-1966	box 102
US State Department Consultant, 1961-1975	box 102
NBC White Paper-Red China, 1961-1963	box 102
Completed-Speeches, talks, interviews, 1962	box 102
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## Subseries I.3: Brookings Institution, 1966-1982

#### **Scope and Contents**

Brookings Institution consists of correspondence, grant proposals, board records, and related documents during Barnett's years as a senior fellow in Brookings' Foreign Policy Studies Program. Included are correspondence between Barnett and government officials including Presidents Johnson, Nixon, and Carter; members of Congress; and National Security Advisor and Secretary of State Henry

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Kissinger. Documents cover Barnett's views on Taiwan and on relations between the US, China, and the Soviet Union, as well as the Nixon Administration's rapprochement with China. Records cover meetings Barnett organized at Brookings of academicians and government officials on US China policies. Also here are letters written to Barnett from Senator Edmund Muskie of Maine, media representatives, academic colleagues, and others after Barnett's heart attack in 1971. There are documents on Jimmy Carter's Presidential candidacy, State Department officials and members of Congress. Materials also include reports on a 1980 meeting of the US-People's Republic of China Joint Commission on Cooperation in Science and Technology, which was held in China and the subsequent 1981 Brookings-Chinese Academy of Social Sciences Conference on Political, Economic, and Security Trends and Problems in East Asia, held in Williamsburg, Virginia

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## Subseries I.4: Johns Hopkins University, Paul H. Nitze School of Advanced International Studies, 1982-1989

#### **Scope and Contents**

Johns Hopkins University contains material covering Barnett's tenure as the George and Sadie Hyman Professor of Chinese Studies at Hopkins' Nitze School of Advanced International Studies. Included here are notebooks from his courses on Chinese politics and foreign policy. There are also notes and transcripts from speeches given and conferences attended. Records from a 1984 trip to China and the USSR include notes from an individual interview with Chinese Premier Zhao Ziyang on Chinese foreign policy. Folders on multiple trips to Asia include correspondence, receipts, expenses, schedules, newspaper clippings, interview lists, and handwritten notes. There are reports from a 1988 Soviet-American Conference in Moscow on Mutual Security. Barnett's personal travel diaries are contained in Suberies V.1.

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#### Subseries I.5: Retirement, Biographical Material, Memorials, 1989-1999

#### **Scope and Contents**

Retirement, Biographical Material, Memorials contains documents pertaining to conferences, workshops, and other events Barnett participated in during retirement, along with documents on trips to Asia and elsewhere. Also here are notes and drafts of Barnett's contribution to the Center for Strategic & International Studies Asian Studies Report, US China Policy: Building a New Consensus, "US-China Relations: Time for a New Beginning-Again." This subseries also contains biographical materials he compiled before his death along with memorials and tributes after he died.

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ADB Memorial Essay Contest, 2000-2005	box 124
Barnett-Oksenberg Lecture on Sino-American Relations, 2005-2010	box 124
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Biographical Material, 1955-1998	box 124
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# Series II: Organizations, 1950-1998

#### Scope and Contents

Organizations consists of correspondence, meeting announcements, minutes, reports, background papers, and other documents from Barnett's participation throughout his career in several research and policy organizations in the United States and worldwide.

#### Subseries II.1: Council on Foreign Relations, 1955-1998

#### **Scope and Contents**

Organizations consists of correspondence, meeting announcements, minutes, reports, background papers, and other documents from Barnett's participation throughout his career in several research and policy organizations in the United States and worldwide.

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General, 1955-1961	box 124
Study Group on Burma, 1958	box 124
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Young-Problems of Government in Newly Independent Countries, 1959-1962	box 124
Fifield and Palmer, 1961-1963	box 125
General, 1961-1965	box 125
Halperin-China and the Bomb, 1963 <u>Physical Description</u> : (2 folders)	box 125
Boyd-China and the Security of Southeast Asia, 1963-1964	box 125
Steering Committee Agenda and Minutes of Meetings, 1962-1963	box 125
Steering Committee China Project Correspondence, 1962-1963	box 125
Steering Committee, 1964-1966	box 125
Steering Committee Correspondence, 1964-1967	box 125
Halperin-Communist China's Foreign Policy, 1964	box 125
Griffith-Communist China's Military Doctrine and Capabilities, 1964-1966	box 125
Eckstein-Economic Development of Communist China, 1963-1965	box 125
Durdin-Taiwan and the Nationalist Government, 1963-1966	box 125
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Study Group on Asia, 1970-1971	box 125
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75th Anniversary Video, 1996	box 126
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## Subseries II.2: Institute of Current World Affairs, 1950-1997

#### **Scope and Contents**

Institute of Current World Affairs documents start with a 1950 memo at the beginning of the Korean War and continue through Barnett's retirement. There are Board of Governors', Nominating Committee, and Annual Meeting minutes. Correspondence with Institute Executive Director Richard Nolte details Barnett's active involvement in internal governance and leadership

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Correspondence, 1959-1968	box 127
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Semi-Annual Meetings of Governors, 1967-1975	box 127
Annual Meetings of Governors and Members, 1968-1973	box 127
Semi-Annual Reports, 1968-1973	box 127
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Annual Meeting, 1971-1973	box 127
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General, 1978-1993	box 128

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Trustees Meeting, 1993	box 128	
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General, 1994	box 128	
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December Trustees Meeting, 1995	box 128	
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Physical Description: (2 folders)		

#### Subseries II.3: National Committee on US-China Relations, 1965-1995

#### **Scope and Contents**

National Committee on US-China Relations traces Barnett's role in the Committee's establishment, his tenure as Board Chairman in 1968, and active participation through retirement. Included are proposal drafts for a National Committee on US-China Policy, a draft prospectus using the current organization name, correspondence among founding members, a certificate of incorporation, and a press release announcing the committee's formation. Correspondence with elected and appointed government officials document the Committee's impact on US policy in Asia during the Vietnam War while maintaining its identity as a "catalyst and service organization." There are materials from a 1969 convocation, "United States and China: the Next Decade," along with position papers on topics such as Sino-American cultural exchanges. Also here are memos and other items from the Chinese Table Tennis Team's US visit in 1972, known as "ping pong diplomacy." Board of Directors Meeting records include background papers.

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General Correspondence, 1968	box 129
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By-Laws and Meeting Minutes, 1968	box 129
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Publications, 1968-1969	box 129
Finance, 1969-1975	box 129
University Field Staffs, 1968	box 129
Publicity, 1966-1968	box 129
Scalpino-New Chairman Correspondence, 1969	box 129
China Trade Study Group, 1968-1970	box 129
Physical Description: (2 folders)	
Seminar for California Aerospace & Electronics	box 129
Executives, 1968-1969	
Seminar for Press, 1969	box 129
Convocation-United States and China: the Next Decade, 1968-1969	box 130
Physical Description: (6 folders)	
Proposed National Symposium, 1969	box 130
General Correspondence, 1969-1971	box 130
/010/31, 1969 Retreat, Greenwich, Conn., 1969, October 31, 1969, 1969	box 130
Journalists Meeting, 1970	box 130
Board Meetings, 1970	box 130
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League of Women Voters Roundtable-The Taiwan Factor in America's China Policy, 1971	box 130
Members Symposium, 1971	box 130
Board Meeting, 1971	box 130
Greyston Roundtable-Prospects and Problems of Developing Relations with China, 1971	box 130
Symposium-China and the Press, 1971	box 130
Board Meeting, 1971	box 130
Huang Hua Meeting-Table Tennis, 1972	box 130

Annual Membership Meeting, 1972	box 130
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China Trip, 1972-1974	box 130
Physical Description: (2 folders)	
General Correspondence, 1973	box 130
Eighth Annual Meeting, 1973	box 131
Twenty-Third Board of Directors Meeting, 1973	box 131
Subcommittee on Exchanges with China, 1972-1974	box 131
Education Committee, 1973-1974	box 131
General Correspondence, 1974	box 131
Spring Symposium, 1974	box 131
Spring 1974 Board Meeting, 1974, 1974, 1974	box 131
Executive Committee Meeting, May 1974	box 131
Expense Account Forms, 1974	box 131
Fact Finding Committee, 1974	box 131
November Board of Directors Meeting, 1974	box 131
Report to the Delegation of University and College Presidents to the PRC, 1974	box 131
General Correspondence, 1975	box 131
January Executive Committee Meeting, 1975	box 131
Spring Board Meeting, 1975	box 131
Exchanges and Executive Committee Meetings, 1975	box 131
World Affairs Delegation, 1975	box 131
October Board of Directors Meeting, 1975	box 131
December Briefing Meeting, 1975	box 131
General Correspondence, 1976	box 131
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Executive Committee Meetings, 1976-1977	box 131
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September Board Meeting, 1979	box 131
MarchExecutive Committee Meeting, 1980	box 131
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Freeman Foundation Proposal-Project to Enhance Knowledge of China Among American Opinion Leaders, 1995	box 133
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# Subseries II.4: Organizations-Alphabetical, 1949-1998

### Scope and Contents

Organizations, Alphabetical contains materials, which Barnett arranged alphabetically, from his participation in several organizations, including the Center for Strategic and International Studies. There are documents from the Government Research Corporation's China Advisory Group, which later became the China Group. In 1970 Barnett helped organize what came to be the China Discussion Group, a gathering of academicians, ambassadors, intelligence officials and others who met at each other's homes to discuss US-China policy. Correspondence, agendas, member lists, and other materials from those meetings are in this subseries. Also here are materials on the origins and activities of the Social Science Research Council's Joint Committee on Contemporary China. Some items on the National Committee on US-Cbhina Relations are here

Title/Description	Instances
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Boston Meeting-Communism in China, 1961-1962	box 133
Board Meetings, 1962-1963	box 134
April Annual Meeting, 1962	box 134
Board Meeting, 1962	box 134
Annual Meeting, 1963	box 134
Board Meetings, 1964-1968	box 134
Panel on the Cultural Revolution, 1968	box 134
Association for Asian Studies and Committee of Concerned Asian Scholars Annual Meetings, 1970-1971	box 134
Physical Description: (2 folders)	
Annual Meetings, 1974-1975	box 134
Physical Description: (2 folders)	
Southeast Regional Conference, 1975	box 134
General, 1963-1974	box 134
Atlantic Council China Policy Committee, 1982-1995 <u>Physical Description</u> : (4 folders)	box 134
Center for US-China Arts Exchange, 1981-1989	box 134
China Advisory Group-Government Research Corporation, 1979-1984	box 134
Physical Description: (9 folders)	
Culp Film Project, 1985-1993	box 134
Center for Strategic and International Studies Meeting-The New Balance of Power, 1989-1990	box 134
Center for Strategic and International Studies-General, 1989-1991	box 134
Center for Strategic and International Studies Internal Research Council Meeting, 1991	box 134
Center for Strategic and International Studies-General, 1990-1991	box 135
Center for Strategic and International Studies Internal Research Council Meeting, 1992	box 135
Center for Strategic and International Studies-General, 1992-1994	box 135
Center for Strategic and International Studies Inaugural Meeting of the US Committee, Council for Security Cooperation in the Asia Pacific, 1993	box 135

Center for Strategic and International Studies-General, 1993-1994	box 135
Center for Strategic and International Studies Inaugural Meeting of the US Committee, Council for Security Cooperation in the Asia Pacific, 1994-1997	box 135
Center for Strategic and International Studies Task Force on US China Policy, 1994-1996	box 135
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China Discussion Group, 1970-1997	box 135
Physical Description: (12 folders)	
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Physical Description: (6 folders)	
Far East Luncheon Group, 1989-1998	box 136
Foreign Correspondents Club of Peking, 1949	box 136
Foreign Policy Association Committee on Substantive Issues, 1959-1974	box 136
Foreign Affairs Editorial Advisory Board, 1972-1994	box 136
Physical Description: (4 folders)	
Georgia Institute of Technology Center for International strategy, Technology and Policy, 1992-1993	box 136
Georgetown China Group, 1972	box 136
Harvard Overseas Visiting Committee, 1961-1964	box 136
Associate of Harvard East Asian Research Center, 1967-1968	box 136
Harvard American Japanese Seminar (Bob Brown), 1967-1968	box 136
Harvard-MIT Arms Control Seminar, 1967-1968	box 136
Harvard-MIT Far East Policy Group, 1967-1968	box 136
Harvard-MIT Joint Faculty Seminar on Political Development, 1967-1968	box 136
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Hudson Institute, 1962-1978	box 136
Physical Description: (4 folders)	
Institute for Defense Analysis (Consultant), 1961-1971 Physical Description: (2 folders)	box 136
Institute of Pacific Relations, 1950	box 136

Interuniversity Research Colloquium on Modern China- Institute for Sino-Soviet Studies, 1969-1973	box 136
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Physical Description: (2 folders)	
International Committee on China Studies and Hong Kong Center, 1962-1965	box 136
Physical Description: (2 folders)	
International Studies Association, 1974-1977	box 136
Jiaozi Club, 1990-1997	box 136
Joint Committee on Contemporary China, 1957-1974 Physical Description: (12 folders)	box 137
Joint Committee on Contemporary China, 1963-1980 Physical Description: (11 folders)	box 137
Joint Committee on Contemporary China, 1963-1980 Physical Description: (24 folders)	box 138
Joint Committee on Contemporary China, 1980-1982 Physical Description: (7 folders)	box 138
Joint Committee on Chinese Studies, 1981-1995 <u>Physical Description</u> : (7 folders)	box 139
Journal of International Affairs, 1961-1967	box 139
Korean Institute of International Studies International Advisory Board, 1973	box 139
Liaison Committee on Study of Contemporary China, 1963-1971	box 139
Physical Description: (9 folders)	
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University of Michigan Board of Visitors, 1974-1978 <u>Physical Description</u> : (2 folders)	box 139
National Archive on Sino-American Relations, 1978-1980	box 139
National Committee on US-China Relations, 1968-1969	box 139
National Council on US-China Trade, 1974-1993	box 139
National Council of Churches, 1960-1983 <u>Physical Description</u> : (3 folders)	box 139
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Physical Description: (4 folders)

National Seminar on China, 1965-1967 box 140 Office of Technology Assessment-US Congress, 1985-1986 box 140 Overseas Press Club, 1950 box 140 Pacific Forum, 1992-1996 box 140 Physical Description: (3 folders) Rock Creek Research, 1985-1987 box 140 **Rockefeller Foundation Program in International Relations** box 140 Advisory Committee, 1961-1968 Rockefeller Brothers Fund, 1967-1969 box 140 SAIS Review, 1983-1984 box 140 box 140 Shanghai American School Association, 1961-1997 Sidwell Friends Advisory Committee, 1983-1987 box 140 Special Operations Research Office, 1957-1958 box 140 United Board for Christian Higher Education in Asia, box 140 1962-1998 Physical Description: (11 folders) box 141 United Board for Christian Higher Education in Asia, 1962-1998 Physical Description: (8 folders) Union Research Institute, 1965-1971 box 141 Physical Description: (2 folders) Rock Spring Congregational United Church of Christ, box 141 1990-1998 Physical Description: (4 folders) United Nations Association, 1966-1981 box 141 Physical Description: (7 folders) Universities Service Centre, 1964-1993 box 142 Physical Description: (4 folders) Washington Area Modern China Research Seminar, box 142 1989-1993 Washington Council on Non-Proliferation, 1990-1995 box 142 Physical Description: (2 folders) box 142 Washington Journal of Modern China, 1992-1996 Washington Southeast Regional Seminar on China, box 142 1973-1977

World Order Models Project, 1967-1971 <u>Physical Description</u> : (2 folders)	box 142
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# Series III: Alphabetical and Chronological Files, 1948-1990

### **Scope and Contents**

Alphabetical, Chronological consists primarily of carbon copies of correspondence Barnett dictated throughout his professional career. Some items have attachments.

# Subseries III.1: Alphabetical, 1941-1990

#### **Scope and Contents**

Alphabetical are arranged alphabetically by last name. Included here are correspondence, galleys, news clippings, and a final copy of the 1967 Freedom House Public Affairs Institute report, "The United States and Eastern Asia," which generated considerable media and public response.

Title/Description	Instances
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American Friends Service Committee, 1964-1975	box 142
American Friends of Wilton Park, 1970-1971	box 142
American Universities Field Staff, 1952-1975	box 142
Physical Description: (4 folders)	
Asia Foundation, 1956-1969	box 142
Asiatic Research Center, Korea University, 1962-1971	box 142
Australian National University, 1967-1976	box 142
A-General Correspondence, 1976-1990	box 142
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Barnett, Bertha S., 1970-1972	box 142
Barnett, Eugene E., 1941-1981	box 142

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	(

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Barnett, H. Dewitt, 1961-1978	box 142
Physical Description: (2 folders)	
Barnett, K., 1972-1978	box 142
Barnett, Robert W., 1959-1977	box 142
Barand, Harry, 1966-1973	box 142
Beckman, George, 1968	box 142
Boorman, Howard L., 1955-1973	box 143
Boorman Project-Men in Politics in Modern China, 1960-1967	box 143
Burton, Robert, 1960-1971	box 143
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Chen, (Chan) Chung-man (Edward), 1966	box 143
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Chen, John P.H., 1966	box 143
Cheng, Chu-yuan, 1963-1969	box 143
China Institute in America, 1967-1971	box 143
China Quarterly, 1963-1977	box 143
Ching, Rev. Peter, 1969-1970	box 143
Chow, Eric and Josephine, 1962-1963	box 143
Cincinnati Council on World Affairs, 1965-1968	box 143
Clough, Ralph, 1963-1968	box 143
Cohen, Jerome, 1961-1978	box 143
Committee for Economic Development, 1964	box 143
Committee for Economic Development, 1964 Congress of Orientalists, 1970-1971	box 143 box 143

Physical Description: (2 folders)	
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Physical Description: (2 folders)	
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Diao, Eva, 1966-1968	box 143
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Physical Description: (2 folders)	
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Ekvall, Robert, 1962-1966	box 143
Everton, John Scott, 1961-1976	box 143
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Fessler, Loren, 1966-1972	box 144
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Foreign Policy Association, 1961-1967	box 144
Freedom House Statement-The United States and Eastern Asia	box 144
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F-General, 1981-1990	box 144

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Physical Description: (2 folders)	
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Harrison, Selig S., 1960-1975	box 144
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Ho, Ping-ti, 1959	box 144
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Hoover Institution, 1965	box 144
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Jacobson, Harold, 1967-1975	box 144
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K-General, 1959-1980

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Physical Description: (3 folders)	
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Yao Hsin-nung, 1966-1971	box 147
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Zweig, Leonard, 1966-1967	box 147

Subseries III.2: Chronological, 1963-1985

#### Scope and Contents

Chronological are arranged chronologically by month and year. Included here is correspondence pertaining to Nixon's China trip and a 1997 letter from Henry Kissinger.

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Physical Description: (4 folders)		
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Physical Description: (21 folders)		
1966-1967	box 149	
Physical Description: (18 folders)		
1968-1972	box 150	
Physical Description: (19 folders)		
1973-1978	box 151	
Physical Description: (21 folders)		
1979-1986	box 152	
Physical Description: (17 folders)		
1987-1993	box 153	
Physical Description: (17 folders)		
1994-1998	box 154	
Physical Description: (11 folders)		

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### Series IV: Writings and Master files, 1939-1997

#### **Scope and Contents**

Writings and Master Files contains news dispatches, essays, opinion pieces, journal articles, books, and other works written by Barnett from his undergraduate days at Yale and reporting for the Chicago Daily News through retirement. He wrote the introduction to the once ubiquitous red paperback, *Quotations from Chairman Mao Tse-tung*, published in 1967.

### Subseries IV.1: "Past Publications,", 1939-1995

#### **Scope and Contents**

"Past Publications" (Barnett's words) contains news clippings and teletype reports filed by Barnett from China beginning in 1947 and chronicling the Communist siege of Peking. Also here is a carbon copy of a December 1948 letter written by Barnett and New York Herald Tribune reporter A.T. Steele to Mao Zedong requesting an interview with a list of questions about the Nanking Government, the

#### A. Doak Barnett papers 4078917

Kuomintang Revolutionary Committee, and future Communist relations with the outside world. This subseries contains reports, some with maps, sent by Barnett from throughout Asia as an American Universities Field Staff correspondent for the Institute of Current World Affairs during the 1940s and 1950s. Also here are opinion pieces and interviews on Nixon's 1972 trip to China and later US China policy as well as correspondence, notes, drafts, and final versions of speeches and published articles. Handwritten speech and lecture notes from 1950 through 1997 discuss topics such as US-China relations, foreign policy decisions, political and economic trends in China, and problems of security and stability in Asia. Press references folders contain news clippings of interviews of Barnett and articles in which he is quoted or referenced. Of special note are press reactions to Barnett's testimony on US-China relations at 1966 Senate Foreign Relations Committee Hearings.

le/Description	Instances
The Yale Freshman Weekly, 1939-1940	box 154
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Institute of Current World Affairs, 1947-1956 <u>Physical Description</u> : (4 folders)	box 154
Institute of Current World Affairs, 1947-1956 <u>Physical Description</u> : (3 folders)	box 155
<i>New York Herald Tribune</i> , 1949-1950 <u>Physical Description</u> : (2 folders)	box 155
Foreign Policy Reports, "Profile of Red China,", 1950	box 155
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# Subseries IV.3: Unpublished Works, 1932-1989

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Circular Letter to Yale Friends Regarding China, 1948	box 170
Movie Script, 1953	box 170
Impact of American Aid on Formosa, 1955	box 170
A Case Study for Harvard Business School, 1955	box 170
New York Times letter to the Editor, 1958	box 170
Abstract-Communist Economic Strategy: The Rise of Mainland China, 1959	box 170
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Sino-Soviet Tensions, 1961	box 170
Cornell Speech-China's Approach to the Outside World, 1964	box 170
Boston Speech-The Critical Challenge of Communist China: Internal Developments in China, 1965	box 170
The Coming Transition in China: Some Hypotheses, Predictions, and Questions for Research, 1965	box 170
Poem-Morley's Temporary Retirement, 1967	box 170

Draft Possible Speech for Hubert Humphrey Campaign, 1968	box 170
Council on Foreign Relations Speech-China and the US A Time of Transition, 1970	box 170
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The Overall Context for US-China Trade Relations: Broad Trends in China and in US-China Relations, 1972	box 170
The United States, China, and the Taiwan Issue, 1975	box 170
Q&A-Normalization of US-China Relations in 1977 1976, 1977, 1976	box 170
US-China Relations: The Period Ahead, 1980	box 170
Comments on Papers by Li Tien-min and Parris Chang, 1981	box 170
China in Mid-1982 A Report on Trends, 1982	box 170
Eckstein Memorial Lecture-Post-China Mao: on a New Course Leading Where?, 1982	box 170
China at the Start of 1983 After A Summing Up, a Not-so- New Phase, 1983, 1983, 1983	box 170
An Upturn in US-China Relations, 1983	box 170
Doing Business with the People's Republic of China, 1985	box 170
Agricultural Reform and Development and China's Modernization, 1985	box 170
Comments-Washington College Commencement, 1985	box 170
Seventh American-Soviet Conference on Asia Paper-China: An Assessment of Domestic Trends, 1986	box 170
Shanghai Institute of International Relations Lecture, US- China Relations, 1987	box 170
Interview Article-Wang Wei-Cheng, 1987	box 170
Yale 45th Reunion-China, 1987	box 170
David Cameron Cuthell Memorial Service, 1987	box 170
Senate Foreign Relations Committee Statement, 1989	box 170

School of Advanced International Studies China Forum Speech-"Reflections on China: 1948 1978 1988" 1989, 1948, 1978, 1989 box 170

# Subseries IV.4: "Master Files,", 1948-1995

#### **Scope and Contents**

"Master Files" (so named by Barnett) consists primarily of photocopies and some originals of interviews, speeches, essays, and contributions to others' published works. Originals or copies of most of these items are contained in other subseries.

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"Notes on Local Government in Szechuan: The Provincial Setting,", 1948	box 170
Introduction to Ronald Harris Book <i>Economic Planning in</i> <i>Communist China</i> , 1955	box 170
ADB Views in Robert Newman <i>Recognition of Communist China?</i> , 1961	box 170
ADB Views in Robert Hunter <i>The "New" Red China Lobby</i> , 1963	box 170
Yale Political, "China and the World,", 1963-1964	box 170
Mainichi Daily News Interview, 1964	box 170
Who's Who in Communist China, 1965	box 170
<i>The Kuomintang Debacle of 1949 Conquest or Collapse?</i> "Problems and Issues", 1965	box 170
<i>Provincial Party Personnel in Mainland China 1956-</i> 1966- Foreword, 1967	box 170
<i>Quotations from Chairman Mao Tse-Tung</i> Introduction, 1967	box 170
Republican China: Nationalism, War, and the Rise of Communism: 1911-1949 ADB references, 1967	box 170
<i>University of Chicago Magazine</i> , "Barnett on China Policy,", 1967	box 170
<i>Communist China: Revolutionary Reconstruction and International Confrontation 1949 to the Present</i> "The Sino-Soviet Alliance,", 1967	box 170
<i>New York Times</i> Reprint of Freedom House Statement on Asia Policy, 1967	box 170
US Policy Toward China, " Goals and Motives of Chinese Policy Excerpts from 1966 Senate Hearings Testimony," 1968, 1966, 1968	box 170
ADB Bio from Foreign Research on China, 1979	box 170

<i>Congressional Record-</i> Memorandum for President-Elect Nixon on US-China Relations, 1971	box 170
"Reflections on Leaving the Heights,", 1969	box 170
"China After Two Decades of Mao's Rule,", 1969	box 170
Statement to Sub-Committee on Arms Control, International Law and Organization, Senate Foreign Relations Committee, 1970	box 170
Comments in <i>Taiwan and American Policy: The Dilemma in US-China Relations</i> , 1971	box 170
Notes in Red China and its American Friends: A Report on the Red China Lobby, 1971	box 170
"The Chinese Political Tradition,", 1971	box 170
Foreword in <i>The Rise of the Chinese Communist Party:</i> 1921-1927, 1971	box 170
Letter in Foreign Policy, 1971-1972	box 170
Comments in "Advisory Committee on East-West Trade,", 1975	box 170
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Foreword in <i>Popular Media in China: Shaping New</i> <i>Cultural Patterns</i> , 1978	box 170
Bio in Dissertation, "Missionary Conscience and the Comprehension of Imperialism: A Study of the Children of American Missionaries to China: 1900-1949", 1978	box 170
New York Times op ed, "Ferment in China,", 1978	box 170
Bio in "Foreign Research on China,", 1979	box 170
Bio in Wai Guo Yan Jing Ming Guo, 1979	box 170
Chicago Tribune Interview, 1979	box 170
House Subcommittee on Asian and Pacific Affairs Testimony, 1980	box 170
Yomiuri Shimbun Interview, 1980	box 170
<i>US News &amp; World Report</i> Interview, "Asia: Cooperation with China? Yes; Alliance? No,", 1981	box 170
<i>New York Times</i> Op Ed, "Don't Sell the FX to Taiwan, 1981	box 170
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SAISPHERE Interview, "Studying China Takes a Lifetime,", 1982	box 170
Brookings Review Interview, 1982	box 170
China Daily News Interview, 1982	box 170
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"Post-Mao China: On a New Course Leading Where?", 1982	box 170
"China's International Posture: Signs of Change,", 1982	box 170
The Economic News Interview, 1983	box 170
"An Upturn in US-China Relations,", 1983	box 171
"Weinberger's Beijing Trip: New Stage in US-China Relations?", 1983	box 171
"Sino-Soviet Relations,", 1983	box 171
<i>The Christian Science Monitor</i> , "The Zhao and Reagan Trips,", 1983-1984	box 171
House Subcommittee on Asian and Pacific Affairs Statement, "US China Relations: the Lessons of the Past Twelve Years,", 1984	box 171
<i>The China Business Review</i> Interview, "Who Makes Foreign Policy in China?", 1984	box 171
<i>New York Times</i> Op Ed, "A Peek at China's Foreign Policy Process,", 1984	box 171
"Doing Business with the People's Republic of China,", 1985	box 171
Washington College Commencement Speech, 1985	box 171
"Barnett Talks on Sino-American Relations,", 1985	box 171
"Agricultural Reform and Development and China's Modernization,", 1985	box 171
Chung-yang-jih-pao (Central Daily) Interview, 1985	box 171
"China's Economy: The Future of Economic Reforms,", 1985	box 171
"China: An Assessment of Domestic Trends,", 1986	box 171
"The US Policy and Taiwan Situation" Interview, 1986	box 171
Congressional Joint Economic Committee <i>China's</i> <i>Economy Looks Toward the Year 2000</i> "China's Modernization: Development and Reform in the 1980s", 1986	box 171

"Sino-Soviet Relations: Signs of Change,", 1986	box 171
Foreign Affairs, "Ten Years After Mao,", 1986	box 171
"AD Barnett Comments on Oksenberg-Lieberthal Article,", 1986	box 171
Letter to Shanghai Institute of International Studies, "US- China Relations,", 1987	box 171
Comments at International Conference on A Decade of Reform Under Deng Xiaoping, 1987	box 171
"China," Yale 45th Reunion, 1987	box 171
Views in China Reporting: An Oral History of American Journalism in the 1930s & 1940s, 1987	box 171
Yomiuri Shimbun Interview, 1988	box 171
Foreword to Westview-Oksenberg Book, 1988	box 171
Commentary in <i>Dean Acheson and the Making of US</i> Foreign Policy, 1989-1993	box 171
Statement to House Committee on Foreign Affairs, 1989-1990	box 171
Statement to House Committee on Foreign Affairs Hearings on "US Policy Towards China: Where do We Go From Here?", 1989	box 171
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Foreign Policy Institute Paper- <i>After Deng, What? Will China Follow the USSR?</i> , 1991	box 171
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<i>China Business Review</i> , "Will China Follow the USSR?", 1991-1992	box 171
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# Series V: Mixed Media:, 1940-1997

#### **Scope and Contents**

Mixed Media contains materials in various formats including handwritten notecards and pocket spiral notebooks, photographic prints and negatives, audio and video recordings, and travel and tourism items.

### Subseries V.1: Notecards and Notebooks, 1940-1996

#### **Scope and Contents**

Notecards and Notebooks consists of handwritten notecards and small spiral bound notebooks. Some notecards contain interview notes from Barnett's travels through Asia early in his career; some are address cards arranged by location or by last name. Other notecards are handwritten speech and lecture outlines. Spiral notebooks number more than 200 and contain personal and travel diaries from trips to Asia throughout his career. Tucked among them is a January 5, 1960, memo, "CFR Study Group on US Policy in Southeast Asia."

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Address Cards by Place, 1950-1970 (1 small box), 1950-1970	box 172
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Index of Writings and Statements, 1935-1995 (1 small box), 1935-1995	box 172
Spiral Bound Travel Notebooks, 1970-1996 (142 4x6-inch notebooks), 1970-1996	box 173
Desk Calendars, 1953-1966 (1 small box), 1953-1966	box 174

Speech and Lecture Outlines, 1949-1985 (2 small boxes), 1949-1985	box 174
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## Subseries V.2: Photographs:, 1933-1989

### **Scope and Contents**

Photographs contains black and white as well as color prints and negatives plus some slides and a small roll of exposed film. Early images chronicle Barnett family celebrations in Shanghai in the 1930s along with shots of Yale from his undergraduate days. Subsequent photos record his journeys throughout Asia and include pre-Communist China as well as Communist troops entering Peking in 1949. Photos from the 1980s cover later trips to China and elsewhere in Asia.

tle/Description	Instances
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# Subseries V.3: Audio and Video Recordings, 1958-1999

### **Scope and Contents**

Audio and Video Recordings contains cassette and open reel audiotapes, videotapes, long-playing records, and filmstrips of conferences Barnett organized or addressed, interviews he granted, and appearances he made on television and radio programs. Also here are some instructional resources.

Title/Description	Instances
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ADB Interviews, 1975-1997 (24 cassette tapes and 1 mini- cassette tape in one box), 1975-1997	box 178

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Brookings Institution-Chinese Academy of Social Sciences Conference-Chinese version, 1981 (17 cassette tapes in one box), 1981	box 178
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Voice of America, 1966 (1/4 inch open reel audiotape), 1966	box 179
NBC TV-"China Today and Tomorrow," 1969 (1/4 inch open reel audiotape), 1969	box 179
"The Fall of Kuomintang China," Interview with ADB by John Berry and Norman Wu, 1970 (1/4 inch open reel audiotape), 1970	box 179
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Georgetown Forum-"Red China and US Policy," 1970 (1/4 inch open reel audiotape), 1970	box 179
"This Week with David Brinkley-China," 1984 (1 Sony Color Plus 60 tape), 1984	box 179
Brinkley Show, 1989 (1 VHS tape), 1989	box 179
MacNeil-Lehrer NewsHour, 1991 (1 VHS tape), 1991	box 179
Film History Foundation-"The China Call," "The Dragon and the Eagle," 1993 (1 VHS tape), 1993	box 179
The James and Margaret Loe Memorial Lecture-short version, 1994 1 floppy disk-Fuji film, MF2DD, Double Sided Double Density, 135 TPI, 80 tracks, 1994	box 179
Council on Foreign Relations-"The Council at 75," 1996 (1 VHS tape), 1996	box 179
Lehrer NewsHour-Debate with Ross Munro, 1997 (1 VHS tape), 1997	box 179
"The Leading Question," 1958 (1 record LP), 1958	box 180
"China in Perspective: Roots of Civilization," 1970 (2 record LPs, 2 filmstrips, 1 study guide), 1970	box 180
"China in Crisis: Confrontation and Coexistence," 1970 (2 record LPs, 2 filmstrips, 1 study guide), 1970	box 180
"Mao, China, and Revolution," 1972 (2 record LPs, 2 filmstrips, 1 study guide), 1972	box 180

# Subseries V.4: Travel Materials, 1945-1990

#### Scope and Contents

Travel Materials consists of maps, tourism brochures, promotional brochures, background data, travel guides, and some hardbound books. Of special note are a large 1948 map of Xinjiang with handwritten notes and 1940s paper booklets on Szechuan that belonged to his father.

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Huangshan, undated	box 181
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Szechuan, 1945 undated <u>Physical Description</u> : (2 folders)	box 181
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This series is not as thoroughly processed as the preceeding five series.

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Communist Strategies in Asia	box 4
Mainland China in Competitive Coexistence (NPA Pamphlet)	box 5
China after Mao	box 5
China after Mao	box 6
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Communist China's Impact on Asia	box 7
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